

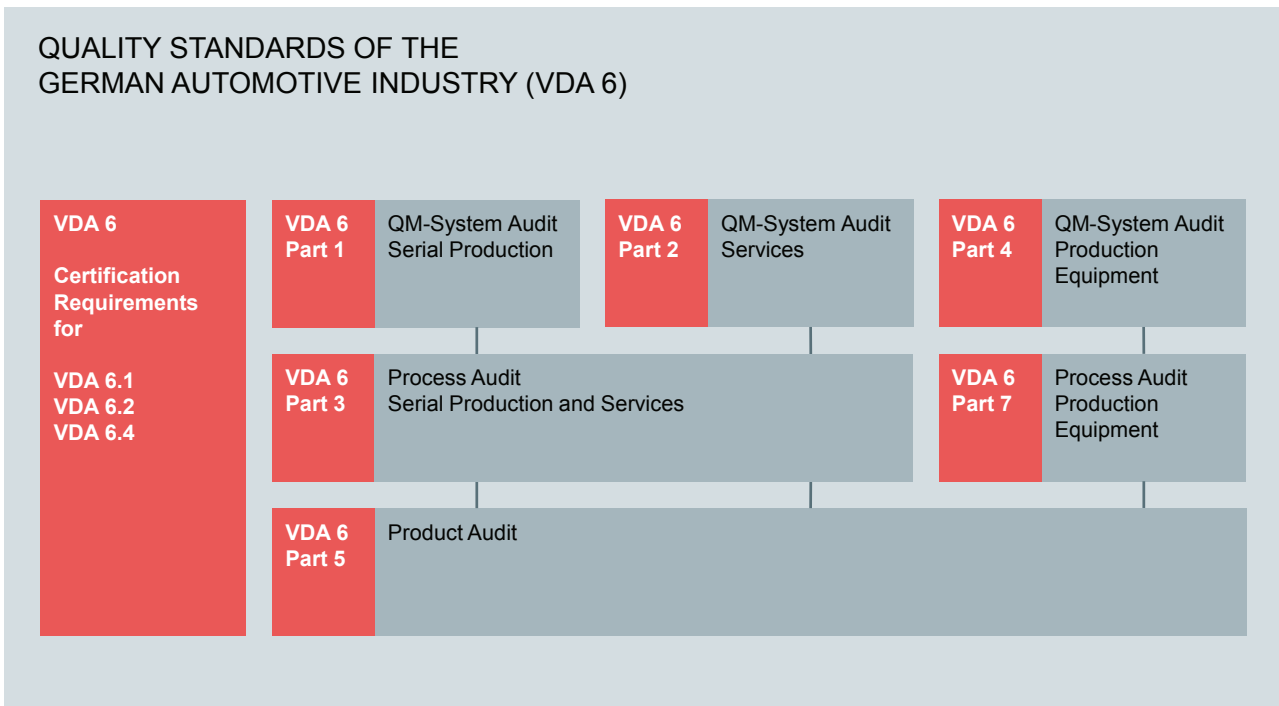
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Foreword

In parallel with the international standard for quality management systems ISO 9001:2015 published in September 2015 VDA has also revised the VDA standards VDA 6.1, VDA 6.2 and VDA 6.4 based on ISO 9001. In this context VDA Volume 6 - covering certification requirements for VDA 6.1, VDA 6.2 and VDA 6.4 – has also been republished.

This present document contains the requirements for the changeover to the revised VDA standards VDA 6.1, VDA 6.2 and VDA 6.4 and is binding on all parties involved - for example, companies certified to VDA 6.1, VDA 6.2 and VDA 6.4, the certification organisations authorized by the VDA to carry out certification to these QM system standards and their auditors.



VDA Volume 6 containing the certification requirements for VDA 6.1, VDA 6.2 and VDA 6.4 has already been published in September 2016 as the 6th revised edition.

Publication of the VDA standard VDA 6.1 will take place in January 2017. It is planned to publish VDA 6.2 in March 2017 and VDA 6.4 in June 2017.

The revised VDA standards will replace the previous versions at the times they come into force - these times are set out in section 2.

Certification extensions previously issued with regard to VDA standards VDA 6.1, VDA 6.2 and VDA 6.4 in the past will become invalid on 14. September 2018 latest.

2. Transition timing requirements to the relevant VDA 6.x standards

2.1 Transition period and changeover date

VDA Volume 6 - the certification requirements for VDA 6.1, VDA 6.2 and VDA 6.4 - has been completely revised and was published in September 2016 as the 6th edition. With effect from 01. January 2017 this 6th edition replaces the 5th edition of VDA Volume 6 "Principles for quality audits – auditing and certification" issued in 2008.

The transition periods for using VDA Volume 6 in association with the VDA standards VDA 6.1, VDA 6.2 and VDA 6.4 are shown in the following overview (TAB 1).

The period for a transition audit covering the change to the revised specifications (VDA 6.1, VDA 6.2, VDA 6.4) must be planned on the basis of a re-certification audit. This audit must take account of the requirements of VDA Volume 6 and must be completed successfully in terms of a certification decision by 14. September 2018.

Successful completion of a transition audit marks the start of a new audit and certification cycle. Based on VDA Volume 6 a new and independent VDA certificate will be issued for the relevant VDA standard.

<u>VDA 6.1:</u>	<table border="1"> <tr> <td>VDA 6.1 Revision 4</td> <td><i>with</i></td> <td>VDA 6 Revision 5</td> </tr> <tr> <td colspan="3">VDA 6.1-Audits are possible until 31/12/2017</td> </tr> </table>	VDA 6.1 Revision 4	<i>with</i>	VDA 6 Revision 5	VDA 6.1-Audits are possible until 31/12/2017			<table border="1"> <tr> <td>VDA 6.1 Revision 5</td> <td><i>with</i></td> <td>VDA 6 Revision 6</td> </tr> <tr> <td colspan="3">VDA 6.1-Audits are possible as of 01/04/2017</td> </tr> </table>	VDA 6.1 Revision 5	<i>with</i>	VDA 6 Revision 6	VDA 6.1-Audits are possible as of 01/04/2017		
VDA 6.1 Revision 4	<i>with</i>	VDA 6 Revision 5												
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<u>VDA 6.2:</u>	<table border="1"> <tr> <td>VDA 6.2 Revision 2</td> <td><i>with</i></td> <td>VDA 6 Revision 5</td> </tr> <tr> <td colspan="3">VDA 6.2-Audits are possible until 31/12/2017</td> </tr> </table>	VDA 6.2 Revision 2	<i>with</i>	VDA 6 Revision 5	VDA 6.2-Audits are possible until 31/12/2017			<table border="1"> <tr> <td>VDA 6.2 Revision 3</td> <td><i>with</i></td> <td>VDA 6 Revision 6</td> </tr> <tr> <td colspan="3">VDA 6.2-Audits are possible as of 01/06/2017</td> </tr> </table>	VDA 6.2 Revision 3	<i>with</i>	VDA 6 Revision 6	VDA 6.2-Audits are possible as of 01/06/2017		
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VDA 6.4-Audits are possible as of 01/07/2017														

TAB 1: Overview of permitted transfer periods

After the date in question audits to the old standards will no longer be permitted.

Carrying out a transition audit in combination with a special audit or a transfer audit is not permitted.

2.2 Additional transition rules for issue 3 of VDA standard VDA 6.2

Where a branch network applies, only those branches may be listed in the overall certificate which have undergone a comprehensive audit to confirm compliance with the requirements of the VDA standard VDA 6.2.

2.3 Additional transition rules for issue 3 of VDA specification VDA.4

An on-site audit must be carried out at the construction site in association with a transition audit if the previous site audit took place more than 12 months before the transition audit. This period is calculated from the last days of the transition audit and construction site audits.

3. Transition audit requirements to the relevant VDA 6.x standards

A complete system audit must be carried out to cover the transition. The duration of this transition audit must be the equivalent of a re-certification audit (see VDA Volume 6, table 5.2). The planning and execution of the audit must comply with the requirements in section 6.8.

Before starting a transition audit the certification organisation must check the documentation of the client's QM system, including evidence of conformance with the relevant revised VDA standard (VDA 6.1, VDA 6.2 and VDA 6.4). This does not require an on-site visit to the production location.

If the client does not provide the information requested at the appropriate time the audit plan for the transition audit must include at least an additional 0.5 audit day at the production location, to record, collect and check this information. However, these activities must take place before starting the audit itself.

Support functions carried out either directly at the production location or at another location must be included in the transition process in the production locations for which they provide support.

If the transition audit of a support function has not been fully completed before the audit of the production location which is supported, a positive "veto" decision can be issued in exceptional cases for the production location in question. However, the audit report on the production location must then contain the following unambiguous information:

- an overview of all relevant support functions,
- the date of the last regular audit of each relevant support function
- the version of the relevant VDA standard used for the audit in question
- if the last regular audit was carried out based on the previous version of the relevant VDA standard, the date of the next planned regular audit must also be stated.

4. Transition audit team requirements

Transition audits must be carried out exclusively by auditors qualified for this purpose. It must be possible to demonstrate this essential qualification with the aid of the following evidence:

- successful participation in a training course covering the certification requirements of VDA Volume 6 (6th revised edition, 2016).
- successful participation in an up-grade training course covering the relevant VDA standard (VDA 6.1, VDA 6.2 or VDA 6.4)

A certification organisation can apply more than one auditor from the previous audit cycle for the transition audit and in the subsequent surveillance audits.